

NAVEX<sup>®</sup>



Safeguarding  
Your Institution  
from Ethics &  
Compliance Risk

## NAVEX. Delivering safer, stronger, and more sustainable outcomes through intelligent GRC management that helps universities and colleges of all sizes fulfill their duty of care.

NAVEX One Governance, Risk, and Compliance Information System (GRCIS) provides the holistic view of risk, automated processes, and insights needed to reach more people, create better learning and living environments, and ensure no cases fall through the cracks.

Serving 80% of Association of American Universities

Used by more than 360 "small" colleges and universities with fewer than 3,600 faculty, staff and students

Trusted by 13,000+ customers and their 70M employees with their various E&C, GRC, and ESG programs

### Enhanced staff & student culture of compliance

#### Connected staff & student experiences

Onboard your new staff and ensure continuous compliance with a single location and log-in.

#### Automated content workflows

Manage the lifecycle and distribution of your policies and procedures.

#### Tailored training programs

Advance your institutional culture with adaptive online compliance training.

#### Empowered "speak-up" culture

Address your staff and student concerns with awareness and reporting tools.

#### Impactful incident outcomes

Centralize your incident reporting intake, investigation and reporting.

#### Streamlined conflict transparency

Uncover and manage your staff conflicts of interest.

#### Set clear company expectations

Create an impactful and legally compliant code of conduct.

“NAVEX offered a reporting system that matched our structure and gave each institution a separate reporting page, as well as the ability to track specific risks at each individual institution.”

#### Cathy Smock

Chief Auditor, The Texas A&M University System





## Automated risk and compliance processes

### Mitigate operational risk

Understand your institution's unique IT and operational risk profile, and establish controls, policies, and alerts to remediate risks.

### Test and harmonize risk controls

Measure effectiveness and harmonize risk controls against regulatory standards, policies and frameworks.

### Issue remediation

Analyze identified risks, assign task ownership, and report on status of all risks to lower your risk profile.

### ESG disclosures

Assign, collect and report on the key performance from leading ESG standards setters, so you assess against the latest guidance.

## Maintain informed, data-driven governance

### Industry benchmarking

Learn from our comprehensive benchmark reports that offer key findings, analysis and insight to help you measure, evaluate and advance your GRC programs.

### Real-time reports

Always-on dashboards provide instant information and program status so you can act immediately and with confidence.

### Custom dashboards

Create customized dashboard views that capture and display key metrics critical for your program and organization.

## Reduce third-party risks

### Simplify vendor onboarding

Eliminate manual steps and understand initial risks when onboarding new vendors.

### Capture ESG compliance

Discover vendors' commitment to environmental, social and governance practices.

### Screen and continuously monitor

Surface risks and be alerted for real-time reputation alerts when a vendor's status changes.

### Plan for interruptions

Deploy business continuity management capabilities to plan and prepare for business interruptions involving vendors.

### Ensure supply chain integrity

Monitor and gain insights into how vendors operate, how they secure your data, and confirm compliance throughout the relationship to reduce risk of breaches.

### Cover all risk areas

See a complete risk score to determine the risk profile of a vendor across different risk categories like IT, operational, financial and reputational.

### Identify ownership

Determine the risk from beneficial owners of your vendors.

### Enhance due diligence

Gain a deeper understanding of risks to determine engagement.

## Why NAVEX?



Industry leader with the largest risk-signal database



Holistic GRC management platform



Innovation at the speed of your institution



Customer-centric service & support



Solutions for any sized institution at any state of maturity



Your partner for a stronger, more resilient workplace culture

# NAVEX<sup>®</sup>

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NAVEX is trusted by thousands of customers worldwide to help them achieve the business outcomes that matter most. As the global leader in integrated risk and compliance management software and services, we deliver our solutions through the NAVEX One platform, the industry's most comprehensive governance, risk and compliance (GRC) information system.

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